

REC'D FROM PTO 22 NOV 2002

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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

TERENCE S. BAKER et al

Conf. No.: 7711

Appln. No.: 10/049,670

Group Art Unit: 0000

Filed: February 15, 2002

Examiner: Unknown

For: TARGETED LIPID PARTICLES

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A&B (modified) form which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents, along with a copy of the International Search Report dated May 15, 2001, is submitted herewith.

The present Information Disclosure Statement is being filed after three months from the application's filing date for an application other than a Continued Prosecution Application (CPA) under §1.53(d), but before the mailing date of the first Office Action on the merits. Therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

**INFORMATION DISCLOSURE STATEMENT**  
**U.S. Appln. No. 10/049,670**

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

*[Handwritten signature]*  
Respectfully submitted,

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WASHINGTON OFFICE



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PATENT TRADEMARK OFFICE

Date: November 22, 2002

<p>Substitute for Form 1449 A &amp; B/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p><i>(use as many sheets as necessary)</i></p>				<i>Complete if Known</i>	
				Application Number	10/049,670
				Confirmation Number	7711
				Filing Date	February 15, 2002
				First Named Inventor	Terence S. BAKER et al
				Art Unit	0000
				Examiner Name	Unknown
Sheet	1	of	1	Attorney Docket Number	Q-68310

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
		Fuhrhop et al, <i>Chemistry and Physics of Lipids</i> , 43:193-213 (1987)	

<b>Examiner Signature</b>		<b>Date Considered</b>	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.